Divisional Application
Docket No. 50623.349
Copy from USSN 09/997,706

| COMBINED DECLARATION FOR PATENT APPLICATION & POWER OF ATTORNEY | DOCKET NO: 50623.70 |
|---|---|

As a below named inventor, I hereby declare that:

The information given herein is true;

My residence, post office address and citizenship are as stated below next to my name;
I BELIEVE I AM THE ORIGINAL, FIRST AND SOLE INVENTOR (if only one name is listed below) OR AN ORIGINAL, FIRST AND JOINT INVENTOR (if plural names are listed below) OF THE SUBJECT MATTER WHICH IS CLAIMED AND FOR WHICH A PATENT IS SOUGHT ON THE INVENTION ENTITLED:

Permeabilizing Reagents To Increase Drug Delivery And A Method Of Local Delivery

the specification of which (check only one item below):

☒ is attached hereto;

☐ was filed on as United States
Application Serial No.
and was amended on _____(if applicable).

☐ was filed on _____ as PCT International
Application Serial No.
and was amended under PCT Article 19 _____ (if applicable).

I hereby state that I have reviewed and understand the content of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations Section 1.56(a).

I hereby claim the benefit under Title 35, United States, §119(e) of any United States provisional application(s) listed below.

_____ _____
(Application Serial No.) (Filing Date)

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America having a filing date before that of the application(s) on which priority is claimed.

FOREIGN APPLICATION(S), IF ANY, FILED WITHIN 12 (6 if a Design) MONTHS PRIOR TO THE FILING DATE OF THIS APPLICATION THE PRIORITY OF WHICH WHERE PERMITTED IS HEREBY CLAIMED UNDER 35 U.S.C. SEC. 119.

| COUNTRY | APPLICATION OF NUMBER | DATE OF FILING (day, month, year) | DATE OF ISSUE (day, month, year) | PRIORITY CLAIMED | |
|---|---|---|---|---|---|
| | | | | ☐ | ☐ |
| | | | | ☐ | ☐ |
| | | | | ☐ | ☐ |

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

Divisional Application

Docket No. 20623.349

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| COMBINED DECLARATION FOR PATENT APPLICATION & POWER OF ATTORNEY – Continued | ATTORNEY'S DOCKET NO: 50623.70 |
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| U.S. APPLICATION NO. | U.S. FILING DATE | PATENTED | PENDING | ABANDONED |
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| PCT APPLICATIONS DESIGNATING THE U.S. | | | | |
|---|---|---|---|---|
| PCT APPLICATION NO. | PCT FILING DATE | U.S. SERIAL NUMBERS | | |
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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or Agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

**Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Cameron Kerrigan, Reg. No. 44,826; Victoria Nicholson, Reg. No. 47,823; Paul Meyer, Reg. No. 47,791; David A. Levine, Reg. No. 48,821; Victor Repkin, Reg. No. 45,039; Aaron Wininger, Reg. No. 45,229; Paul Durdik, Reg. No. 37,819; Fariba Sirjani, Reg. No. 47,947; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lori Howland, Reg. No. 42,671; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; Willliam Bachand, Reg. No. 34,980; Tim Kitchen, Reg. No. 41,900; Thomas A. Hassing, Reg. No. 36,159; Philip Yip, Reg. No. 37,265**

| **Send correspondence to** | **Squire, Sanders & Dempsey L.L.P.<br>One Maritime Plaza, Suite 300<br>San Francisco, CA 94111** | **Direct Phone Calls To:<br>Cameron Kerrigan: 415 954-0323** |
|---|---|---|

| | | | | | |
|---|---|---|---|---|---|
| 1 | FULL NAME OF INVENTOR | LAST NAME<br>Roorda | FIRST NAME<br>Wouter | MIDDLE NAME<br>E. | |
| | RESIDENCE & CITIZENSHIP | CITY<br>Palo Alto | STATE OR FOREIGN COUNTRY<br>California | COUNTRY OF CITIZENSHIP<br>Netherlands | |
| | POST OFFICE ADDRESS | STREET<br>36 Roosevelt Circle | CITY<br>Palo Alto | STATE OR COUNTRY<br>California | ZIP CODE<br>94306 |
| 2 | FULL NAME OF INVENTOR | LAST NAME<br>Bhat | FIRST NAME<br>Vinayak | MIDDLE NAME<br>D. | |
| | RESIDENCE & CITIZENSHIP | CITY<br>Sunnyvale | STATE OR FOREIGN COUNTRY<br>California | COUNTRY OF CITIZENSHIP<br>India | |
| | POST OFFICE ADDRESS | STREET<br>659 West Homestead Road, Apt. 3 | CITY<br>Sunnyvale | STATE OR COUNTRY<br>California | ZIP CODE<br>94087 |
| 3 | FULL NAME OF INVENTOR | LAST NAME<br>Consigny | FIRST NAME<br>Paul | MIDDLE NAME<br>M. | |
| | RESIDENCE & CITIZENSHIP | CITY<br>Morgan Hill | STATE OR FOREIGN COUNTRY<br>California | COUNTRY OF CITIZENSHIP<br>USA | |
| | POST OFFICE ADDRESS | STREET<br>605 Jarvis Drive | CITY<br>Morgan Hill | STATE OR COUNTRY<br>California | ZIP CODE<br>95054 |
| 4 | FULL NAME OF INVENTOR | LAST NAME | FIRST NAME | MIDDLE NAME | |
| | RESIDENCE & CITIZENSHIP | CITY | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSHIP | |
| | POST OFFICE ADDRESS | STREET | CITY | STATE OR COUNTRY | ZIP CODE |

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| Signature Of Inventor 1 | Signature Of Inventor 2 | Signature Of Inventor 3 | Signature Of Inventor 4 |
|---|---|---|---|
| DATE 10/25/01 | DATE | DATE 10/30/01 | DATE |

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| COMBINED DECLARATION FOR PATENT APPLICATION & POWER OF ATTORNEY – Continued | | | ATTORNEY'S DOCKET NO: 50623.70 | |
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| PCT APPLICATIONS DESIGNATING THE U.S. | | | | |
| PCT APPLICATION NO. | PCT FILING DATE | U.S. SERIAL NUMBERS | | |
| | | | | |

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or Agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.
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|---|---|---|---|---|---|
| 1 | FULL NAME OF INVENTOR | LAST NAME<br>Roorda | FIRST NAME<br>Wouter | MIDDLE NAME<br>E. | |
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| | POST OFFICE ADDRESS | STREET<br>36 Roosevelt Circle | CITY<br>Palo Alto | STATE OR COUNTRY<br>California | ZIP CODE<br>94306 |
| 2 | FULL NAME OF INVENTOR | LAST NAME<br>Bhat | FIRST NAME<br>Vinayak | MIDDLE NAME<br>D. | |
| | RESIDENCE & CITIZENSHIP | CITY<br>Sunnyvale | STATE OR FOREIGN COUNTRY<br>California | COUNTRY OF CITIZENSHIP<br>India | |
| | POST OFFICE ADDRESS | STREET<br>659 West Homestead Road, Apt. 3 | CITY<br>Sunnyvale | STATE OR COUNTRY<br>California | ZIP CODE<br>94087 |
| 3 | FULL NAME OF INVENTOR | LAST NAME<br>Consigny | FIRST NAME<br>Paul | MIDDLE NAME<br>M. | |
| | RESIDENCE & CITIZENSHIP | CITY<br>Morgan Hill | STATE OR FOREIGN COUNTRY<br>California | COUNTRY OF CITIZENSHIP<br>USA | |
| | POST OFFICE ADDRESS | STREET<br>605 Jarvis Drive | CITY<br>Morgan Hill | STATE OR COUNTRY<br>California | ZIP CODE<br>95054 |
| 4 | FULL NAME OF INVENTOR | LAST NAME | FIRST NAME | MIDDLE NAME | |
| | RESIDENCE & CITIZENSHIP | CITY | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSHIP | |
| | POST OFFICE ADDRESS | STREET | CITY | STATE OR COUNTRY | ZIP CODE |

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| Signature Of Inventor 1 | Signature Of Inventor 2 | Signature Of Inventor 3 | Signature Of Inventor 4 |
|---|---|---|---|
| DATE | DATE 11/06/01 | DATE | DATE |

UNITED STATES PATENT AND TRADEMARK OFFICE

Divisional Application
Docket No. 50623.349
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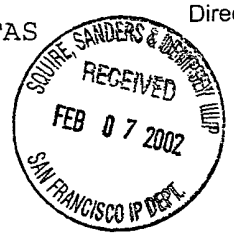
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JANUARY 29, 2002

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

PTAS

SQUIRE, SANDERS & DEMPSEY L.L.P.
CAMERON KERRIGAN
ONE MARITIME PLAZA SUITE 300
SAN FRANCISCO, CA 94111







UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/30/2001

REEL/FRAME: 012341/0037
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
ROORDA, WOUTER E..

DOC DATE: 10/25/2001 .

ASSIGNOR:
BHAT, VINAYAK D.

DOC DATE: 11/06/2001

ASSIGNOR:
CONSIGNY, PAUL M.

DOC DATE: 10/30/2001

ASSIGNEE:
ADVANCED CARDIOVASCULAR SYSTEMS, INC.
3200 LAKESIDE DRIVE
SANTA CLARA, CALIFORNIA 95054

SERIAL NUMBER: 09997706
PATENT NUMBER:

FILING DATE: 11/30/2001
ISSUE DATE:

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

12-07-2001

50623.70

Form PTO-1595 (Rev. 03/01) 11/30/01 RI
OMB No. 0651-0027 (exp. 5/31/2002)

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Divisional Application IEEE Docket No. 50623.349 Copy from USSN 09/997,706

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

JC972 U.S. PTO
09/997706
11/30/01

Tab settings ⇨ ⇨ ⇨

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Wouter E. Roorda
2. Vinayak D. Bhat
3. Paul M. Consigny

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Advanced Cardiovascular Systems, Inc.

Address: 3200 Lakeside Drive, Santa Clara CA 95054

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐

Execution Date: 1. 10/25/01; 2. 11/06/01; 3. 10/30/01

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution data of the application is: November 30, 2001

A. Patent Application No.(s)

09/997706

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Cameron Kerrigan (44,826)

Squire, Sanders & Dempsey L.L.P.

Address: One Maritime Plaza Suite 300

City: San Francisco State: CA Zip: 94111

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) . . . . . $ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:
07-1850

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Cameron Kerrigan
Name of Person Signing

Signature

November 30, 2001
Date

Total number of pages including cover sheet, attachments, and documents: 4

**Mail documents to be recorded with required cover sheet information to:**
Commissioner of Patents & Trademarks, Box Assignments
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#54956

Divisional Application
Docket No. 50623.349
Copy from USSN 09/997,706

Attorney Docket No. 50623.70

ASSIGNMENT

*(1-8) Insert Names of Inventor*

(1) Wouter E. Roorda

(2) Vinayak D. Bhat

(3) Paul M. Consigny

For good and valuable consideration receipt of which is hereby acknowledged, the undersigned agree(s) to assign, and hereby do(es) assign, transfer and set over to:

*(9) Insert name of Assignee*

(9) Advanced Cardiovascular Systems, Inc.

*(10) Insert state of incorporation of Assignee*

(10) California

*(11) Insert address of Assignee*

(11) of: 3200 Lakeside Drive, Santa Clara, CA 95054 (hereinafter designated as the Assignee) the entire worldwide right, title and interest in the invention known as

*(12) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number*

(12) Permeabilizing Reagents To Increase Drug Delivery And A Method Of Local Delivery

(Case No. 50623.70 (Serial No. ) for which the undersigned has (have) executed an application for patent in United States of America

*(13) Insert Date of Signing of Application*

(13) on _____

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and the duly constituted authorities of foreign countries to issue any and all Letters Patents resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, its successors and assigns, as Assignee of the entire right, title and interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) *The undersigned hereby grant(s)*

**Tim Kitchen, Reg. No. 41,900; Thomas A. Hassing, Reg. No. 36,159; Philip Yip, Reg. No. 37,265; Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Cameron Kerrigan, Reg. No. 44,826; Victoria Nicholson, Reg. No. 47,823; Paul Meyer, Reg. No. 47,791; David A. Levine, Reg. No. 48,821; Victor Repkin, Reg. No. 45,039; Aaron Wininger, Reg. No. 45,229; Paul Durdik, Reg. No. 37,819; Fariba Sirjani, Reg. No. 47,947**

*the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.*

Date 10/25/01 Name of Inventor

Date Name of Inventor

Date 10/30/01 Name of Inventor Paul M. Conway

*the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.*

Date 11/06/07 Name of Inventor VINAYAK D. BHAT

Date _____ Name of Inventor _____

Date _____ Name of Inventor _____